

## Null Space and Range

As we work to understand linear transformations in more detail, we pause to consider two subspaces that can give us a wealth of information about the transformation itself: the *null space* and the *range*.

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### Null Space of a Transformation

The first subset of interest is the *null space*:

**Definition 3.12.** Let  $T : V \rightarrow W$  be a linear transformation. The *null space* of  $T$ , denoted by  $\text{null}(T)$ , is the set of all vectors  $v \in V$  so that  $T(v) = \mathbf{0}$ .

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**Remark.** Notice that the nullspace is a subset of the domain  $V$ , and *not* of the codomain  $W$ . To find  $\text{null}(T)$ , we must determine which vectors in  $V$  are mapped to  $\mathbf{0} \in W$ .

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**Example.** Given *any* vector spaces  $V$  and  $W$ , the transformation

$$T_{\mathbf{0}} : V \rightarrow W,$$

given by

$$T_{\mathbf{0}}(u) = \mathbf{0},$$

has null space

$$\text{null}(T_{\mathbf{0}}) = V,$$

since *every* vector in  $V$  maps to  $\mathbf{0}$  under the action of  $T_{\mathbf{0}}$ .

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**Example.** Let  $V$  be *any* vector space, and let

$$T_I : V \rightarrow V$$

be defined by

$$T_I(v) = v.$$

Then since the *only* vector mapped to  $\mathbf{0}$  is  $\mathbf{0}$  itself, we see that

$$\text{null}(T_I) = \{\mathbf{0}\}.$$

**Example.** Given linear transformation

$$\pi : \mathcal{M}_2(\mathbb{R}) \rightarrow \mathcal{U}_2(\mathbb{R})$$

defined by

$$\pi\left(\begin{pmatrix} v_1 & v_2 \\ v_3 & v_4 \end{pmatrix}\right) = \begin{pmatrix} v_1 & v_2 \\ 0 & v_4 \end{pmatrix},$$

find  $\text{null}(\pi)$ .

The null space of  $\pi$  is the set of *all* vectors in  $\mathcal{M}_2(\mathbb{R})$  that are mapped to

$$\mathbf{0} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix} \in \mathcal{U}_2(\mathbb{R}).$$

If

$$\pi\left(\begin{pmatrix} v_1 & v_2 \\ v_3 & v_4 \end{pmatrix}\right) = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix},$$

then we *must* have

$$v_1 = v_2 = v_4 = 0.$$

However,  $v_3$  is free, so the null space of  $\pi$  is the set

$$\text{null}(\pi) = \left\{ \begin{pmatrix} 0 & 0 \\ v & 0 \end{pmatrix} \mid v \in \mathbb{R} \right\}.$$

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**Example.** With

$$M = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 1 \end{pmatrix},$$

find the null space of the map  $T_M : \mathbb{R}^2 \rightarrow \mathbb{R}^3$  defined by

$$T_M\left(\begin{pmatrix} u_1 \\ u_2 \end{pmatrix}\right) = M \begin{pmatrix} u_1 \\ u_2 \end{pmatrix}.$$

Now  $\text{null}(T_M)$  is the set of all vectors  $v \in \mathbb{R}^2$  so that

$$Mv = \mathbf{0}.$$

Of course, to find the set of all solutions to the matrix equation above, we may simply row reduce  $M$  itself:

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 1 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 0 \end{pmatrix},$$

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so that there is *only one solution*  $v$  to

$$Mv = \mathbf{0}.$$

Thus

$$\text{null}(T_M) = \{\mathbf{0}\}.$$

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As you may have already guessed,  $\text{null}(T)$  is more than just a *subset* of  $V$ ; it is actually a *subspace* of  $V$ , as indicated by the following theorem:

**Theorem 3.14.** If  $T : V \rightarrow W$  is a linear transformation, then  $\text{null}(T)$  is a subspace of  $V$ .

We will not prove the theorem in detail, as it is quite straightforward. Indeed, the standard procedure applies immediately here: one must show that, if  $v, w \in \text{null}(T)$ , then  $v + w \in \text{null}(T)$  as well (i.e., show that  $T(v + w) = \mathbf{0}$  if  $T(v) = \mathbf{0}$  and  $T(w) = \mathbf{0}$ ), and that  $\lambda v \in \text{null}(T)$  (i.e., show that  $T(\lambda v) = \mathbf{0}$  if  $T(v) = \mathbf{0}$ ). Both tasks are easily accomplished using the fact that  $T$  is a linear transformation.

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We have seen examples of transformations with null space  $\{\mathbf{0}\}$ ; null space  $V$ ; or even null space “in between”  $\mathbf{0}$  and  $V$ . We will soon see that transformations with null space  $\text{null}(T) = \{\mathbf{0}\}$  are particularly nice. Accordingly, we introduce the following definition:

**Definition 3.15.** A linear transformation  $T : V \rightarrow W$  is *one-to-one* or *injective* if

$$T(u) = T(v) \rightarrow u = v.$$

**Remark.** Injectivity simply means that no two different vectors map to the same place. The idea looks a bit like the concept of invertibility from calculus; while there is a relation, we will see that *invertibility* and *injectivity* are not quite the same, and you should not assume that injectivity implies any sort of “invertibility”.

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The idea of an injective map is actually closely tied to the null space of that transformation, as indicated by the following theorem:

**Theorem 3.16.** The linear transformation  $T : V \rightarrow W$  is injective if and only if  $\text{null}(T) = \{\mathbf{0}\}$ .

**Proof.** For any linear transformation  $T$ ,

$$T(\mathbf{0}) = \mathbf{0}.$$

Thus if  $T$  is injective, then *no other* vector  $v$  can map to  $\mathbf{0}$ , so that

$$\text{null}(T) = \{\mathbf{0}\}.$$

On the other hand, suppose that  $T$  has null space  $\{\mathbf{0}\}$ , and let  $u$  and  $v$  be any vectors in  $V$  so that  $T(u) = T(v)$ . Then  $T(u) - T(v) = \mathbf{0}$ . However, since  $T$  is a linear transformation, we have

$$\begin{aligned}\mathbf{0} &= T(u) - T(v) \\ &= T(u - v),\end{aligned}$$

so that  $u - v \in \text{null}(T)$ . Since  $\text{null}(T) = \{\mathbf{0}\}$ ,  $u - v = \mathbf{0}$  and  $u = v$ . Thus  $T$  is injective.

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### Range of a Transformation

Another important subset related to a linear transformation is the *range*:

**Definition 3.17.** The *range* or *image* of a linear transformation  $T : V \rightarrow W$ , denoted  $\text{range}(T)$ , is the set of all  $w \in W$  so that there is a  $v \in V$  with  $T(v) = w$ .

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**Remark.** The range of a transformation is a subset of the codomain  $W$  (unlike the null space, which lives inside the domain  $V$ ).

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**Example.** Given *any* vector spaces  $V$  and  $W$ , the transformation

$$T_{\mathbf{0}} : V \rightarrow W,$$

given by

$$T_{\mathbf{0}}(u) = \mathbf{0},$$

has range

$$\text{range}(T_{\mathbf{0}}) = \{\mathbf{0}\},$$

since *every* vector in  $V$  maps to  $\mathbf{0}$  under the action of  $T$ .

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**Example.** Let  $V$  be *any* vector space, and let

$$T_I : V \rightarrow V$$

be defined by

$$T_I(v) = v.$$

Every vector in  $V$  maps to itself, so every vector is in the range of  $T$ :

$$\text{range}(T) = V.$$

**Example.** Given linear transformation

$$\pi : \mathcal{M}_2(\mathbb{R}) \rightarrow \mathcal{U}_2(\mathbb{R})$$

defined by

$$\pi\left(\begin{pmatrix} v_1 & v_2 \\ v_3 & v_4 \end{pmatrix}\right) = \begin{pmatrix} v_1 & v_2 \\ 0 & v_4 \end{pmatrix},$$

find  $\text{range}(\pi)$ .

The range of  $\pi$  is the set of *all* vectors in  $\mathcal{U}_2(\mathbb{R})$  that are images of at least one vector in  $\mathcal{M}_2(\mathbb{R})$ . Of course, it is clear that *every* vector in  $\mathcal{U}_2(\mathbb{R})$  is the image of a vector from  $\mathcal{M}_2(\mathbb{R})$ , so

$$\text{range}(\pi) = \mathcal{U}_2(\mathbb{R}).$$

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**Example.** With

$$M = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 1 \end{pmatrix},$$

find the range of the map  $T_M : \mathbb{R}^2 \rightarrow \mathbb{R}^3$  defined by

$$T_M\left(\begin{pmatrix} u_1 \\ u_2 \end{pmatrix}\right) = M\begin{pmatrix} u_1 \\ u_2 \end{pmatrix}.$$

The range of  $T_M$  is the set of all vectors  $w \in \mathbb{R}^3$  so that there is a solution  $v \in \mathbb{R}^2$  to the matrix equation

$$Mv = w.$$

Thus we row reduce the augmented equation for the system:

$$\left(\begin{array}{cc|c} 1 & 0 & w_1 \\ 0 & 1 & w_2 \\ 1 & 1 & w_3 \end{array}\right) \rightarrow \left(\begin{array}{cc|c} 1 & 0 & w_1 \\ 0 & 1 & w_2 \\ 0 & 0 & w_3 - w_1 - w_2 \end{array}\right).$$

Notice that there is a solution  $v \in \mathbb{R}^2$  if and only if

$$w_3 - w_1 - w_2 = 0;$$

then  $w_1 = v_1$ ,  $w_2 = v_2$  and  $w_3 = w_1 + w_2$ . Since  $v_1$  and  $v_2$  may be chosen to be any real numbers, we see that the range of  $T_M$  is

$$\text{range}(T_M) = \left\{ \begin{pmatrix} w_1 \\ w_2 \\ w_1 + w_2 \end{pmatrix} \mid w_1, w_2 \in \mathbb{R} \right\}.$$

Again, we have defined this specific subset for a reason: the range of  $T$  is a subspace of  $W$ .

**Theorem 3.19.** If  $T : V \rightarrow W$  is a linear transformation, then  $\text{range}(T)$  is a subspace of  $W$ .

Once again, the proof is quite straightforward: we must show that if  $w_1, w_2 \in W$  are vectors in the range of  $T$  (i.e., there are vectors  $v_1, v_2 \in V$  so that  $T(v_1) = w_1, T(v_2) = w_2$ ), then so is their sum  $w_1 + w_2$ , and that if  $w \in \text{range}(T)$  (i.e. there is a vector  $v \in V$  with  $T(v) = w$ ), then so is  $\lambda w$ . Both are easily accomplished using the fact that  $T$  is a linear transformation.

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Again, we have seen examples of transformations with range  $\{\mathbf{0}\}$ ; range  $W$ ; or range somewhere in between. Transformations with  $\text{range}(T) = W$  are particularly important, and are named below:

**Definition 3.20.** A linear transformation  $T : V \rightarrow W$  is *onto* or *surjective* if  $\text{range}(T) = W$ .

**Remark.** Surjectivity means that every vector in  $W$  is the image of at least one vector in  $V$ . It is important to distinguish between the ideas of surjectivity and injectivity: they are *not* the same, and we will see momentarily that a map can be surjective but not injective; injective but not surjective; both injective and surjective; or neither injective nor surjective.

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**Example.** Given *any* nontrivial vector spaces  $V$  and  $W$ , the transformation

$$T_{\mathbf{0}} : V \rightarrow W$$

given by

$$T_{\mathbf{0}}(u) = \mathbf{0}$$

is neither injective nor surjective.

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**Example.** For any  $V$ , the map

$$T_I : V \rightarrow V$$

defined by

$$T_I(v) = v$$

is both injective and surjective.

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**Example.** We showed above that the linear transformation

$$\pi : \mathcal{M}_2(\mathbb{R}) \rightarrow \mathcal{U}_2(\mathbb{R})$$

defined by

$$\pi\left(\begin{pmatrix} v_1 & v_2 \\ v_3 & v_4 \end{pmatrix}\right) = \begin{pmatrix} v_1 & v_2 \\ 0 & v_4 \end{pmatrix}$$

is surjective, but it is easy to see that it is *not* injective.

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**Example.** With

$$M = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 1 \end{pmatrix},$$

the map  $T_M : \mathbb{R}^2 \rightarrow \mathbb{R}^3$  defined by

$$T_M\left(\begin{pmatrix} u_1 \\ u_2 \end{pmatrix}\right) = M \begin{pmatrix} u_1 \\ u_2 \end{pmatrix}$$

is injective *but not* surjective.

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### An Aside on Transformations In Euclidean Space

Of course, the null space and range of a transformation are closely tied to the matrix of the transformation. In order to understand the interconnections, we introduce the idea of the *rank* of a matrix:

**Definition.** The *rank* of an  $m \times n$  matrix  $A$ , denoted  $\text{rank}(A)$ , is the number of leading 1s in rows in its reduced row echelon form.

For example, the matrix

$$M = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 1 \end{pmatrix}$$

has reduced row echelon form

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 0 \end{pmatrix},$$

so  $\text{rank}(M) = 2$ .

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If  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is a linear transformation represented by matrix  $A$ , then the rank of  $A$  is closely tied to the dimensions of the null space and range of  $A$ . The interconnections are indicated in the following theorem:

**Theorem.** Let  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  be a linear transformation represented by matrix  $A$  (with respect to any bases). Then:

1.  $\dim(\text{range}(T)) = \text{rank}(A)$
2.  $\dim(\text{null}(T)) = n - \text{rank}(A)$

We will not consider a proof of the theorem here. Instead, let us briefly compare the result with a problem introduced earlier.

The matrix

$$M = \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 1 & 1 \end{pmatrix}$$

of the linear transformation  $T_M$  has  $\text{rank}(M) = 2$ . In addition, we have seen that  $\dim(\text{range}(T_M)) = 2$  and  $\dim(\text{null}(T_M)) = 0$ . Since  $T_M : \mathbb{R}^2 \rightarrow \mathbb{R}^3$ ,  $n = 2$ . Notice that

1.  $\dim(\text{range}(T_M)) = 2 = \text{rank}(M)$
2.  $\dim(\text{null}(T_M)) = 0 = 2 - \text{rank}(M)$ ,

exactly as predicted by the theorem.

**Remark.** Notice that the theorem suggests that

$$\dim(\text{range}(T)) + \dim(\text{null}(T)) = n = \dim(\mathbb{R}^n).$$

This statement can actually be generalized to apply to *any* linear transformation between finite dimensional vector spaces, as we will see below.

## Fundamental Theorem of Linear Transformations

We sum up all of the data we have accumulated on linear transformations in the following theorem:

**Theorem 3.22: Fundamental Theorem of Linear Transformations.** Let  $T : V \rightarrow W$  be a linear transformation. Then

$$\dim(V) = \dim(\text{null}(T)) + \dim(\text{range}(T)).$$

**Proof.** Let

$$B_n = (v_1, v_2, \dots, v_k)$$

be a basis for  $\text{null}(T)$ , and extend  $B_n$  to a basis  $B$  for  $V$ , say

$$B = (v_1, v_2, \dots, v_k, v_{k+1}, \dots, v_m).$$



I claim that

$$B_r = (T(v_{k+1}), \dots, T(v_m))$$

is a basis for  $\text{range}(T)$ . Let  $w$  be any vector in  $\text{range}(T)$ . Then there is some vector  $v \in V$ ,  $v = \alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_n v_n$ , with  $T(v) = w$ . However,

$$\begin{aligned} T(v) &= T(\alpha_1 v_1 + \alpha_2 v_2 + \dots + \alpha_m v_m) \\ &= \alpha_1 T(v_1) + \dots + \alpha_k T(v_k) + \alpha_{k+1} T(v_{k+1}) + \dots + \alpha_m T(v_m) \\ &= \alpha_{k+1} T(v_{k+1}) + \dots + \alpha_m T(v_m) \end{aligned}$$

since  $T(v_i) = \mathbf{0}$ ,  $i \leq k$ . Thus

$$w = \alpha_{k+1} T(v_{k+1}) + \dots + \alpha_m T(v_m),$$

that is every vector in  $\text{range}(T)$  is also in  $\text{span}(B_r)$ .

To show that  $B_r$  is a basis for  $\text{range}(T)$ , we must now show that the vectors in  $B_r$  are independent. So suppose that there are constants  $\beta_i$  so that

$$\beta_{k+1} T(v_{k+1}) + \dots + \beta_m T(v_m) = \mathbf{0}.$$

Then the vector  $\beta_{k+1} v_{k+1} + \dots + \beta_m v_m$  is in the null space of  $T$ , and must be a linear combination of vectors in  $B_n$ , say

$$\beta_1 v_1 + \dots + \beta_k v_k = \beta_{k+1} v_{k+1} + \dots + \beta_m v_m.$$

However, the vectors in  $B$  itself are independent, so each  $\beta_i$  in the equation

$$\beta_1 v_1 + \dots + \beta_k v_k - \beta_{k+1} v_{k+1} - \dots - \beta_m v_m = \mathbf{0}$$

is identically 0. Thus the vectors  $T(v_{k+1}), \dots, T(v_m)$  are independent, so that the list  $B_r$  is a basis for  $\text{range}(T)$ .

Now  $\dim(\text{range}(T)) = m - k$  and  $\dim(\text{null}(T)) = k$ , so

$$\dim(V) = m = \dim(\text{null}(T)) + \dim(\text{range}(T)).$$

We finish the section with a quick corollary:

**Corollaries 3.23/3.24.** Let  $T : V \rightarrow W$  be a linear transformation. Then:

1. If  $\dim V > \dim W$ ,  $T$  is not injective.
2. If  $\dim V < \dim W$ ,  $T$  is not surjective.

**Proof.** 1. If  $T$  is injective, then  $\dim(\text{null}(T)) = 0$ . Then by the Fundamental Theorem of Linear Maps, we have

$$\begin{aligned} \dim(V) &= \dim(\text{null}(T)) + \dim(\text{range}(T)) \\ &= \dim(\text{range}(T)). \end{aligned}$$

Now  $\text{range}(T)$  is a subspace of  $W$ , so the equation

$$\dim V = \dim(\text{range}(T)) \leq \dim W$$

when  $T$  is injective implies that  $\dim V \leq \dim W$ .

2. If  $T$  is surjective, then  $\text{range}(T) = W$ . Again using the Fundamental Theorem, we have

$$\begin{aligned}\dim V &= \dim(\text{null}(T)) + \dim(\text{range}(T)) \\ &= \dim(\text{null}(T)) + \dim W.\end{aligned}$$

Now  $\text{null}(T)$  is a vector space and has dimension  $\dim(\text{null}(T)) \geq 0$ , so the equation

$$\dim V - \dim W = \dim(\text{null}(T)) \geq 0$$

when  $T$  is surjective implies that  $\dim V \geq \dim W$ .