and

1. Recall that the vector space  $\mathfrak{sl}(2,\mathbb{R})$  of  $2 \times 2$  trace 0 matrices is a subspace of  $\mathcal{M}_2(\mathbb{R})$ . The list

$$
\left(\begin{pmatrix}1&0\\0&-1\end{pmatrix},\begin{pmatrix}0&1\\0&0\end{pmatrix},\begin{pmatrix}0&0\\1&0\end{pmatrix}\right).
$$

Find two different subspaces  $W_1$  and  $W_2$  of  $\mathcal{M}_2(\mathbb{R})$  so that

$$
\mathcal{M}_2(\mathbb{R}) = \mathfrak{sl}(2,\mathbb{R}) \oplus W_1 \text{ and } \mathfrak{sl}(2,\mathbb{R}) \oplus W_2.
$$

*Example:* Since  $\mathfrak{sl}(2,\mathbb{R})$  is 3 dimensional, W should be 1 dimensional. Two possible choices are

$$
W_1 = \text{span}\left(\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}\right)
$$

$$
W_2 = \text{span}\left(\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}\right).
$$

2. Let V be the subspace of  $\mathbb{R}^4$  spanned by the vectors

$$
\left(\begin{pmatrix}11\\4\\1\\10\end{pmatrix}, \begin{pmatrix}2\\1\\1\\1\end{pmatrix}, \begin{pmatrix}8\\1\\-5\\13\end{pmatrix}, \begin{pmatrix}-1\\0\\1\\-2\end{pmatrix}\right).
$$

- (a) Determine the dimension of  $V$ . Solution: V is 2 dimensional, as is shown below.
- (b) Find a basis for  $V$ .

Example: The span of the list above is a vector space, so if the list itself is dependent, then we may reduce it to a basis for V by deleting dependent vectors. Thus we look for nonzero solutions to

$$
\alpha \begin{pmatrix} 11 \\ 4 \\ 1 \\ 10 \end{pmatrix} + \beta \begin{pmatrix} 2 \\ 1 \\ 1 \\ 1 \end{pmatrix} + \gamma \begin{pmatrix} 8 \\ 1 \\ -5 \\ 13 \end{pmatrix} + \delta \begin{pmatrix} -1 \\ 0 \\ 1 \\ -2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}.
$$

Per usual, we look at the coefficient matrix

$$
\begin{pmatrix} 11 & 2 & 8 & -1 \\ 4 & 1 & 1 & 0 \\ 1 & 1 & -5 & 1 \\ 10 & 1 & 13 & -2 \end{pmatrix}
$$

for the corresponding matrix equation, and row reduce to find solutions:

$$
\begin{pmatrix}\n11 & 2 & 8 & -1 \\
4 & 1 & 1 & 0 \\
1 & 1 & -5 & 1 \\
10 & 1 & 13 & -2\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & 1 & -5 & 1 \\
11 & 2 & 8 & -1 \\
4 & 1 & 1 & 0 \\
10 & 1 & 13 & -2\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & 1 & -5 & 1 \\
0 & -9 & 63 & -12 \\
0 & -3 & 21 & -4 \\
0 & -9 & 63 & -12\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & 1 & -5 & 1 \\
0 & 1 & -7 & 4/3 \\
0 & -3 & 21 & -4 \\
0 & 0 & 0 & 0\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & 1 & -5 & 1 \\
0 & 1 & -7 & 4/3 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & 0 & 2 & -1/3 \\
0 & 1 & -7 & 4/3 \\
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0\n\end{pmatrix}.
$$

Thus  $\gamma$  and  $\delta$  are free variables, and we parametrize them accordingly, say  $\gamma = 1$ ,  $\delta = 3$ , so that  $\alpha = -1$  and  $\beta = 3$ . Thus

$$
-\begin{pmatrix} 11 \\ 4 \\ 1 \\ 10 \end{pmatrix} + 3 \begin{pmatrix} 2 \\ 1 \\ 1 \\ 1 \end{pmatrix} + \begin{pmatrix} 8 \\ 1 \\ -5 \\ 13 \end{pmatrix} + 3 \begin{pmatrix} -1 \\ 0 \\ 1 \\ -2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix},
$$

and we rewrite

$$
\begin{pmatrix} 11 \\ 4 \\ 1 \\ 10 \end{pmatrix} = 3 \begin{pmatrix} 2 \\ 1 \\ 1 \\ 1 \end{pmatrix} + \begin{pmatrix} 8 \\ 1 \\ -5 \\ 13 \end{pmatrix} + 3 \begin{pmatrix} -1 \\ 0 \\ 1 \\ -2 \end{pmatrix}.
$$

Thus

$$
\text{span}\left(\begin{pmatrix}11\\4\\1\\10\end{pmatrix}, \begin{pmatrix}2\\1\\1\\1\end{pmatrix}, \begin{pmatrix}8\\1\\-5\\13\end{pmatrix}, \begin{pmatrix}-1\\0\\1\\-2\end{pmatrix}\right) = \text{span}\left(\begin{pmatrix}2\\1\\1\\1\end{pmatrix}, \begin{pmatrix}8\\1\\-5\\13\end{pmatrix}, \begin{pmatrix}-1\\0\\1\\-2\end{pmatrix}\right);
$$

if these three vectors are independent, then they form a basis for  $V$ . We check using the same process:

$$
\begin{pmatrix}\n2 & 8 & -1 \\
1 & 1 & 0 \\
1 & -5 & 1 \\
1 & 13 & -2\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & 4 & -1/2 \\
0 & -3 & 1/2 \\
0 & -9 & 3/2 \\
0 & 9 & -3/2\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & 4 & -1/2 \\
0 & 1 & -1/6 \\
0 & 0 & 0 \\
0 & 0 & 0\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & 0 & 1/6 \\
0 & 1 & -1/6 \\
0 & 0 & 0 \\
0 & 0 & 0\n\end{pmatrix}.
$$

Again, we have a free variable: parameterizing  $c = 6$ , so that  $b = 1$  and  $a = -1$ , we have

$$
-\begin{pmatrix} 2 \\ 1 \\ 1 \\ 1 \end{pmatrix} + \begin{pmatrix} 8 \\ 1 \\ -5 \\ 13 \end{pmatrix} + 6 \begin{pmatrix} -1 \\ 0 \\ 1 \\ -2 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix},
$$

again rewriting as

$$
\begin{pmatrix} 2 \\ 1 \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 8 \\ 1 \\ -5 \\ 13 \end{pmatrix} + 6 \begin{pmatrix} -1 \\ 0 \\ 1 \\ -2 \end{pmatrix}.
$$
  
(8) 
$$
\begin{pmatrix} -1 \\ 1 \\ 1 \end{pmatrix} \qquad \qquad \begin{pmatrix} 8 \\ 1 \\ 1 \end{pmatrix} \qquad \qquad \begin{pmatrix} 8 \\ 1 \end{pmatrix} \qquad \qquad \begin{pmatrix} 1 \\ 1 \end{pmatrix} \qquad \qquad \begin{pmatrix} 1 \\ 1 \end{pmatrix} \qquad \qquad \begin{pmatrix} 0 \\ 0 \end{pmatrix} \qquad \qquad \
$$

So

$$
\text{span}\left(\begin{pmatrix}2\\1\\1\\1\end{pmatrix},\begin{pmatrix}8\\1\\-5\\13\end{pmatrix},\begin{pmatrix}-1\\0\\1\\-2\end{pmatrix}\right) = \text{span}\left(\begin{pmatrix}8\\1\\-5\\13\end{pmatrix},\begin{pmatrix}-1\\0\\1\\-2\end{pmatrix}\right);
$$

these last two vectors are clearly independent, so the list

$$
\left(\begin{pmatrix} 8\\1\\-5\\13\end{pmatrix}, \begin{pmatrix} -1\\0\\1\\-2\end{pmatrix}\right)
$$

is a basis for  $V$ .

3. Let

$$
A = \begin{pmatrix} 1 & -1 & 4 \\ 2 & -1 & 5 \\ 1 & 0 & 1 \end{pmatrix}.
$$

and

We can think of the columns of A as vectors in  $\mathbb{R}^3$ . The subspace of  $\mathbb{R}^3$  spanned by the columns of A is called the *column space* of A, denoted by column  $(A)$ .

(a) Find two nonzero vectors in column  $(A)$ .

*Example:* We could, of course, just pick two columns of  $A$ , but more interesting possibilities are

$$
\binom{6}{2} = 2\binom{1}{2} - 4\binom{-1}{0}
$$

$$
\binom{4}{0} = \binom{1}{2} + \binom{-1}{0} - \binom{4}{5}.
$$

(b) Show that column  $(A) \neq \mathbb{R}^3$  using an argument on the determinant of A. Solution: Since  $det(A) = 0$ , there are nontrivial solutions to the matrix equation

$$
\begin{pmatrix} 1 & -1 & 4 \ 2 & -1 & 5 \ 1 & 0 & 1 \end{pmatrix} \begin{pmatrix} x_1 \ x_2 \ x_3 \end{pmatrix} = \begin{pmatrix} 0 \ 0 \ 0 \end{pmatrix},
$$

i.e. there are nonzero scalars such that

$$
x_1 \begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} + x_2 \begin{pmatrix} -1 \\ -1 \\ 0 \end{pmatrix} + x_3 \begin{pmatrix} 4 \\ 5 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}.
$$

Thus the three vectors are *not* independent, so that column  $(A) \neq \mathbb{R}^3$ .

(c) Find a basis for column  $(A)$  and determine the dimension of column  $(A)$ . Example: Since the list of vectors

$$
\left(\begin{pmatrix}1\\2\\1\end{pmatrix}, \begin{pmatrix}-1\\-1\\0\end{pmatrix}, \begin{pmatrix}4\\5\\1\end{pmatrix}\right)
$$

is not independent, but *does* span column  $(A)$ , it contains a basis for column  $(A)$ ; deleting one (or two) carefully chosen vectors will result in the desired basis.

In essence, we would like to find a nonzero solution to the matrix equation

$$
\begin{pmatrix} 1 & -1 & 4 \ 2 & -1 & 5 \ 1 & 0 & 1 \end{pmatrix} \begin{pmatrix} x_1 \ x_2 \ x_3 \end{pmatrix} = \begin{pmatrix} 0 \ 0 \ 0 \end{pmatrix},
$$

so let's begin by row-reducing the coefficient matrix:

$$
\begin{pmatrix}\n1 & -1 & 4 \\
2 & -1 & 5 \\
1 & 0 & 1\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & -1 & 4 \\
0 & 1 & -3 \\
0 & 1 & -3\n\end{pmatrix}
$$
\n
$$
\rightarrow\n\begin{pmatrix}\n1 & -1 & 4 \\
0 & 1 & -3 \\
0 & 0 & 0\n\end{pmatrix}
$$
\n
$$
\rightarrow\n\begin{pmatrix}\n1 & 0 & 1 \\
0 & 1 & -3 \\
0 & 0 & 0\n\end{pmatrix}.
$$

Thus  $x_3$  is free; choosing  $x_3 = 1$ , we have  $x_2 = 3$  and  $x_1 = -1$ . Thus

$$
-\begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} + 3 \begin{pmatrix} -1 \\ -1 \\ 0 \end{pmatrix} + \begin{pmatrix} 4 \\ 5 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix},
$$

$$
\begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix} = 3 \begin{pmatrix} -1 \\ -1 \\ 0 \end{pmatrix} + \begin{pmatrix} 4 \\ 5 \\ 1 \end{pmatrix}.
$$

$$
\begin{pmatrix} 1 \\ 2 \\ 1 \end{pmatrix}
$$

so that

Since

is a linear combination of the other two vectors, we may safely remove it from the list. Thus

$$
\left(\begin{array}{c} -1 \\ -1 \\ 0 \end{array}\right), \begin{pmatrix} 4 \\ 5 \\ 1 \end{pmatrix}\right)
$$

still spans column  $(A)$ , and we can quickly row-reduce the resulting coefficient matrix to see that the vectors above are linearly independent:

$$
\begin{pmatrix}\n-1 & 4 \\
1 & 5 \\
0 & 1\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & -4 \\
1 & 5 \\
0 & 1\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & -4 \\
0 & 9 \\
0 & 1\n\end{pmatrix}\n\rightarrow\n\begin{pmatrix}\n1 & 0 \\
0 & 1 \\
0 & 0\n\end{pmatrix},
$$

so that  $a = b = 0$  is the only solution to

$$
\begin{pmatrix} -1 & 4 \ 1 & 5 \ 0 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}.
$$

$$
\begin{pmatrix} \begin{pmatrix} -1 \\ -1 \end{pmatrix}, \begin{pmatrix} 4 \\ 5 \end{pmatrix} \end{pmatrix}
$$

Thus

$$
\left(\begin{array}{c} -1 \\ 0 \end{array}\right), \begin{array}{c} 5 \\ 1 \end{array}
$$
  
is a basis for column (A), and dim(column (A)) = 2.

4. Let V be the subspace of  $\mathcal{P}_4(\mathbb{R})$  of all vectors  $p \in \mathcal{P}_4(\mathbb{R})$  so that

$$
p''(1/2)=0.
$$

(a) Find a basis for  $V$ . Example: If  $p \in V$  with

$$
p(x) = \alpha x^4 + \beta x^3 + \gamma x^2 + \delta x + \lambda,
$$

then

$$
p''(x) = 12\alpha x^2 + 6\beta x + 2\gamma,
$$

so that

$$
0 = p''(1/2)
$$
  
=  $3\alpha + 3\beta + 2\gamma$ .

We have

$$
\gamma = -\frac{3}{2}\alpha - \frac{3}{2}\beta,
$$

so that

$$
p(x) = \alpha x^{4} + \beta x^{3} + (-\frac{3}{2}\alpha - \frac{3}{2}\beta)x^{2} + \delta x + \lambda.
$$

Thus every vector in  $V$  may be written in the form

$$
p(x) = \alpha(x^4 - \frac{3}{2}x^2) + \beta(x^3 - \frac{3}{2}x^2) + \delta x + \lambda,
$$

so that the vectors

$$
x^4 - \frac{3}{2}x^2, \ x^3 - \frac{3}{2}x^2, \ x, \ 1
$$

form a spanning list for  $V$ . It is straightforward to show that this list is also independent, so the list 3

$$
(x^4 - \frac{3}{2}x^2, x^3 - \frac{3}{2}x^2, x, 1)
$$

is also a basis for  $V$ .

(b) Extend the basis to a basis for  $\mathcal{P}_4(\mathbb{R})$ .

*Example:* V itself is 4 dimensional, and  $\mathcal{P}_4(\mathbb{R})$  is 5 dimensional, so we need to add a single vector to the basis to extend it to a basis for all of  $\mathcal{P}_4(\mathbb{R})$ . I claim that we can add  $x^2$  and maintain independence of the list; to be certain, we check the coefficient matrix for the system

$$
\alpha(x^4 - \frac{3}{2}x^2) + \beta(x^3 - \frac{3}{2}x^2) + \delta x + \lambda + \mu x^2 = 0.
$$

The matrix is

$$
\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ -3/2 & -3/2 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix},
$$

which has determinant 1. Since the determinant is nonzero, the only solution to the matrix equation

$$
\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ -3/2 & -3/2 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \\ \delta \\ \lambda \\ \mu \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}
$$

is the trivial solution

$$
\begin{pmatrix} \alpha \\ \beta \\ \delta \\ \lambda \\ \mu \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}.
$$

Thus the vectors are independent, and the list

$$
(x^4-\frac{3}{2}x^2, \ x^3-\frac{3}{2}x^2, \ x, \ 1, \ x^2)
$$

is a basis for  $\mathcal{P}_4(\mathbb{R})$ .

5. Prove that every subspace of  $\mathbb{R}^3$  is either  $\{0\}$ , a line through the origin, or a plane through the origin.

Solution: Every subspace V of  $\mathbb{R}^3$  must have dimension

$$
\dim(V) \le 3.
$$

If dim  $V = 3$ , then clearly  $V = \mathbb{R}^3$ .

We handle the remaining cases seperately:

(a) dim  $V = 0$ : Then the empty list is a basis for V, and span () = {0}.

(b) dim  $V = 1$ : Then there is a single vector  $u \neq 0$  in  $\mathbb{R}^3$  so that

$$
V = \mathrm{span}\,(u),
$$

say

$$
u = \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix}.
$$

Then every vector  $v \in V$  may be written in the form

$$
v = \alpha \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} = \begin{pmatrix} \alpha u_1 \\ \alpha u_2 \\ \alpha u_3 \end{pmatrix},
$$

 $\alpha \in \mathbb{R}$ . Now the set of all scalar multiples of a nonzero vector in  $\mathbb{R}^3$  is a line, and particular this line must pass through the origin ( $\alpha = 0$ ).

(c) dim  $V = 2$ : There is a pair of (nonzero) vectors  $u, v \in \mathbb{R}^3$  so that

$$
V = \mathrm{span}\,(u, v),
$$

say

$$
u = \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix}, \ v = \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}.
$$

Then every vector  $w \in V$  may be written in the form

$$
w = \alpha \begin{pmatrix} u_1 \\ u_2 \\ u_3 \end{pmatrix} + \beta \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix},
$$

 $\alpha, \beta \in \mathbb{R}$ . But the set of all vectors of this form is just a plane in  $\mathbb{R}^3$ , again passing through the origin since we can set  $\alpha = \beta = 0$ .

6. Prove that if U and W are both 5 dimensional subspaces of  $\mathbb{R}^9$ , then  $U \cap W \neq \{0\}$ .

Solution:

Recall that

$$
\dim(U+W) = \dim U + \dim W - \dim(U \cap W).
$$

If  $U \cap W = \{0\}$ , then  $\dim(U \cap W) = 0$ , and we have

$$
\dim(U + W) = \dim U + \dim W = 10.
$$

However,  $U + W$  is a subspace of the 9 dimensional vector space V; we now have a contradiction, since the dimension of a subspace of  $V$  cannot be more than the dimension of  $V$  itself. Thus  $U \cap W \neq \{0\}.$ 

7. The notation

 $\dim_{\mathbb{F}}(V)$ 

denotes the dimension of  $V$  as a vector space over field  $\mathbb{F}$ .

Let V be any vector space over  $\mathbb{C}$ . Since  $\mathbb{R} \subset \mathbb{C}$ , V is also a vector space over  $\mathbb{R}$ . Prove that

 $\dim_{\mathbb{R}}(V) = 2 \dim_{\mathbb{C}}(V).$ 

Solution: Suppose that  $\dim_{\mathbb{C}}(V) = n$ ; that is, every basis of V over C contains n vectors. Let

$$
B_{\mathbb{C}}=(v_1, v_2, \ldots, v_n)
$$

be one such basis.

I claim that

$$
B_{\mathbb{R}} = (v_1, v_2, \ldots, v_n, iv_1, iv_2, \ldots, iv_n)
$$

is a basis for V over R. To prove the claim, we need to show that  $B_{\mathbb{R}}$  is independent and spanning.

To show that  $B_{\mathbb{R}}$  spans V over  $\mathbb{R}$ , suppose that  $v \in V$ . Now  $B_{\mathbb{C}}$  spans V over  $\mathbb{C}$ , so we know that there constants  $\alpha_j \in \mathbb{C}$  so that

$$
\alpha_1v_1+\alpha_2v_2+\ldots+\alpha_nv_n=v.
$$

Of course, each  $\alpha_j$  may be rewritten as

$$
\alpha_j = a_j + ib_j,
$$

 $a_j, b_j \in \mathbb{R}$ , so that we may rewrite v as a linear combination of vectors in  $B_{\mathbb{R}}$  as follows:

$$
v = \alpha_1 v_1 + \alpha_2 v_2 + \ldots + \alpha_n v_n
$$
  
=  $(a_1 + b_1 i)v_1 + (a_2 + b_2 i)v_2 + \ldots + (a_n + b_n i)v_n$   
=  $a_1 v_1 + a_2 v_2 + \ldots + a_n v_n + b_1 (iv_1) + b_2 (iv_2) + \ldots + b_n (iv_n);$ 

since all of the scalars above are elements of  $\mathbb{R}$ , this is the desired linear combination of vectors in  $B_{\mathbb{R}}$ , which clearly spans V over  $\mathbb{R}$ .

To show that the vectors are independent over R, recall that the only constants  $\alpha_i \in \mathbb{C}$  so that

$$
\alpha_1v_1 + \alpha_2v_2 + \ldots + \alpha_nv_n = \mathbf{0}
$$

are

$$
\alpha_1=\alpha_2=\ldots=\alpha_n=0.
$$

Thus if

$$
a_1v_1 + a_2v_2 + \ldots + a_nv_n + b_1(iv_1) + b_2(iv_2) + \ldots + b_n(iv_n) = \mathbf{0},
$$

we may rewrite this as a linear combination of vectors in  $B_{\mathbb{C}}$  by setting  $\beta_j = a_j + ib_j$ . Then we have

$$
\mathbf{0} = a_1v_1 + a_2v_2 + \ldots + a_nv_n + b_1(iv_1) + b_2(iv_2) + \ldots + b_n(iv_n)
$$
  
=  $\beta_1v_1 + \beta_2v_2 + \ldots + \beta_nv_n$ ,

so that  $\beta_j = 0$  for all j by the above argument. Thus  $a_j = b_j = 0$  for all j, and the vectors in  $B_{\mathbb{R}}$  are independent over  $\mathbb{R}$ .