

Pattern Avoidance in Ferrers Boards and Set Partitions

Jonathan Bloom
(Joint work with Sergi Elizalde & Dan Saracino)

Dartmouth College

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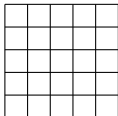
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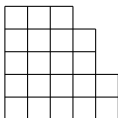
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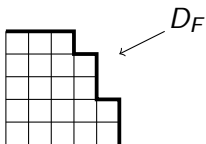
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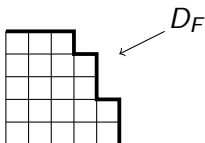
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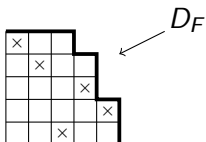
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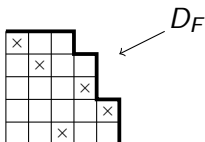
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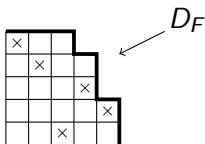
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- \mathcal{F}_n is the set of all Ferrers boards that admit a f.r.p. of n rooks.

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- \mathcal{R}_F is the set of all f.r.p on $F \in \mathcal{F}_n$ and

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Pattern Avoidance in Rook Placements

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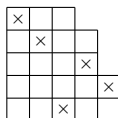
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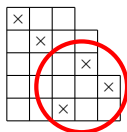
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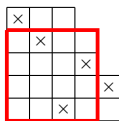
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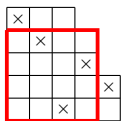
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Notation

- $\mathcal{R}_F(\sigma)$ is the set of all rook placements on $F \in \mathcal{F}_n$ that avoid σ and

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We say two patterns $\sigma, \tau \in S_k$ are *shape-Wilf-equivalent* if for any Ferrers board $F \in \mathcal{F}_n$

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(Open) Problems:

- 1 Simple proof that $|\mathcal{R}_n(231)| = |\mathcal{D}_n^2|$.

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We will show 1, 2 & the “231” case of 4. In addition, we will use our methods to

- Give a new proof counting

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- Analyze the shape-Wilf-equivalence for pairs of patterns with length 3.

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Notation

Let \mathcal{D}_n be the set of Dyck paths with semilength n .

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$F \in \mathcal{F}_n$ iff $D_F \in \mathcal{D}_n$. Therefore \mathcal{F}_n and \mathcal{D}_n are in bijection!

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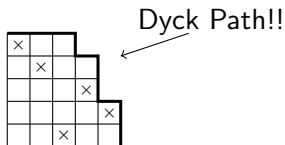
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Proof by example:



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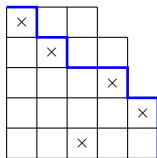
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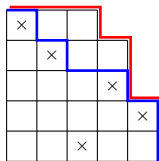


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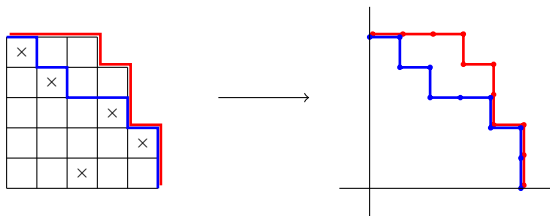


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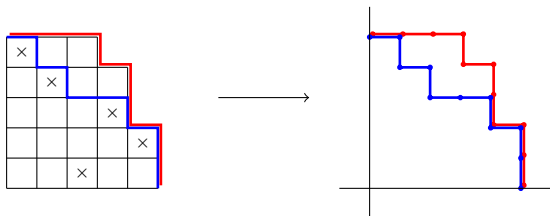


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- Observe that $54132 \in S(213)$
 - Blue path results from the standard bijection $S(213) \rightarrow \mathcal{D}_n$.

The Enumeration of $\mathcal{R}_n(231)$

Theorem (J. Bloom, D. Saracino)

Let $F \in \mathcal{F}_n$. There exists an (simple) explicit bijection

$$\Pi : \mathcal{R}_F(231) \rightarrow \mathcal{L}_F,$$

where \mathcal{L}_F is a set of “special” labelings of the border of F .

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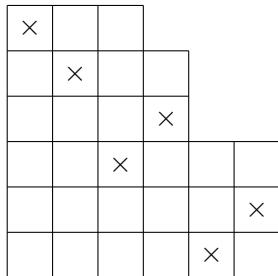
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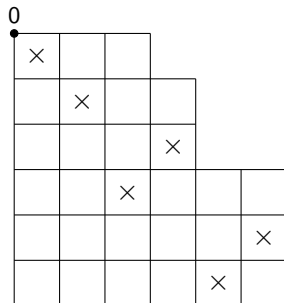
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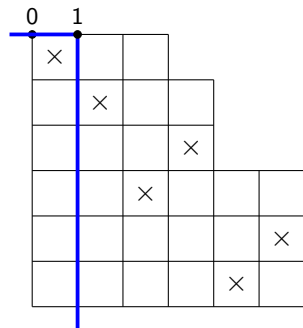
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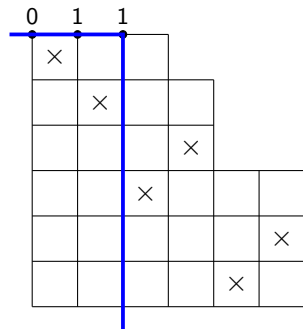
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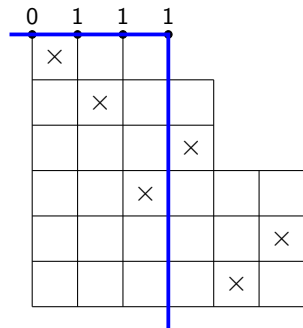
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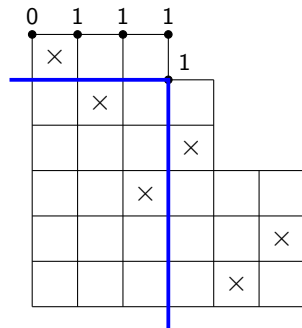
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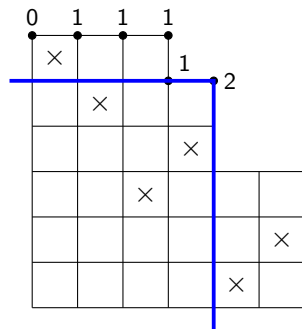
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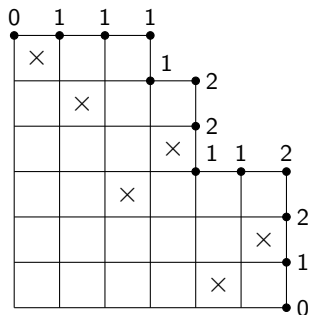
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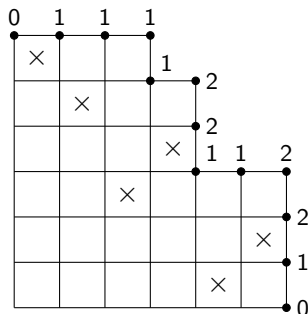
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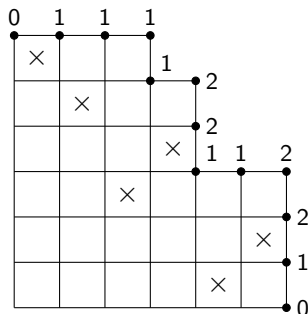
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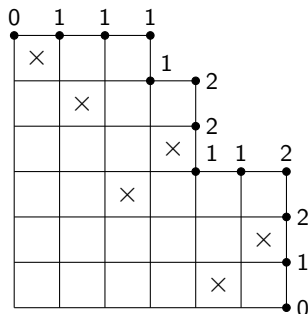
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 - Zero labels are those on the diagonal.

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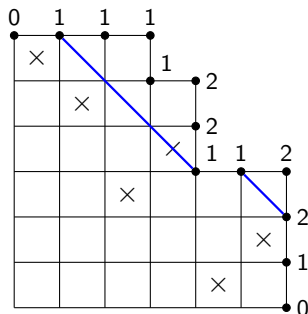
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Critical Properties:

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 - Increase ≤ 1 over east step.
 - Decrease ≤ 1 over south step.
- Zero Condition
 - Zero labels are those on the diagonal.
- Diagonal Property
 - For any diagonal: “Top” \leq “Bottom”.

Theorem (J. Bloom, S. Elizalde)

$$\sum_{n \geq 0} |\mathcal{R}_n(231)| z^n = \sum_{n \geq 0} |\mathcal{L}_n| z^n = \frac{54z}{1 + 36z - (1 - 12z)^{3/2}},$$

where $\mathcal{L}_n := \bigcup_{F \in \mathcal{F}_n} \mathcal{L}_F$. Further, we obtain

$$|\mathcal{R}_n(231)| \sim \frac{3^3}{2^5 \sqrt{\pi n^5}} 12^n.$$

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- ★ Interestingly, labelings that have only the Monotone Property and the Diagonal Property are in bijection with rooted planar maps!!

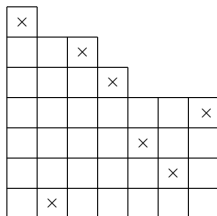
The pattern 2314

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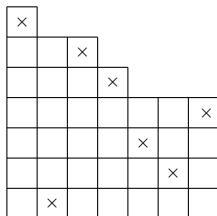
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	0	1							
×	0	1	2						
			×	1	2				
				×	1	2	2	3	
								×	2
						×			2
							×		1
		×							0

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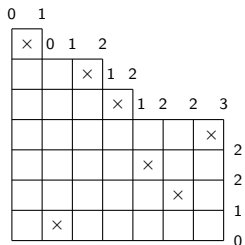
	0	1								
×	0	1	2							
			×	1	2					
				×	1	2	2	3		
									×	2
						×				2
							×			1
		×								0

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- The labels rounding any peak are always $a, a + 1, a$. Call this the *peak property*.

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Notation

- Let $\mathcal{L}_n^\times \subset \mathcal{L}_n$ be all labelings with the peak property.

The pattern 2314

Lemma (J. Bloom, S. Elizalde)

This composition of maps gives a bijection

$$S_n(2314) \rightarrow \mathcal{L}_n^\times(231),$$

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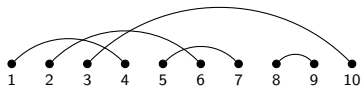
Doing so we obtain

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Matchings & Set Partitions

A *perfect matching* M is graph such that every vertex is “matched” with another vertex.

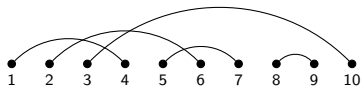
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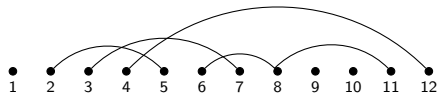


A set partition may also be represented by a graph.

For example

$$\{\{1\}, \{2, 5\}, \{3, 7\}, \{4, 12\}, \{6, 8, 11\}, \{9\}, \{10\}\}$$

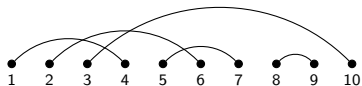
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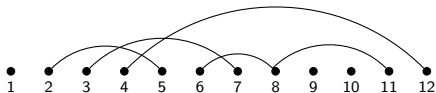


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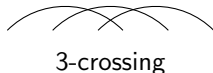


Notation

- \mathcal{M}_n is set of all matchings on $2n$ vertices.
- \mathcal{P}_n is set of all set partitions on n vertices.

Patterns in Matchings and Set Partitions

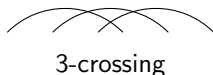
In this context, a pattern is a certain configuration of arcs. For example consider:



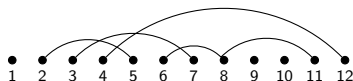
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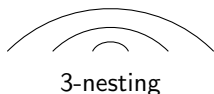
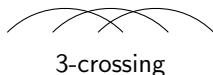
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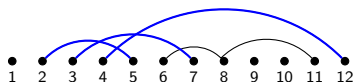
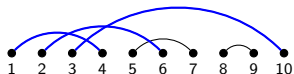
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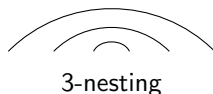
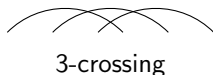
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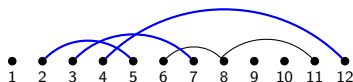
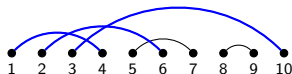
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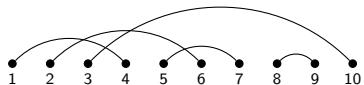
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Notation

If τ is a configuration let...

- $\mathcal{M}_n(\tau)$ be matchings on $2n$ vertices that avoid τ .
- $\mathcal{P}_n(\tau)$ be set partitions of $[n]$ that avoid τ .

Matchings & Full Rook Placements



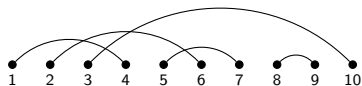
Matchings & Full Rook Placements

1



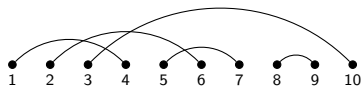
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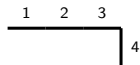
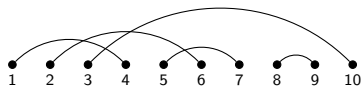


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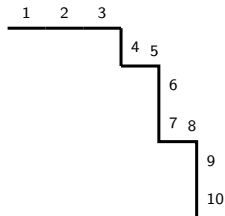
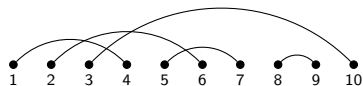
1 2 3



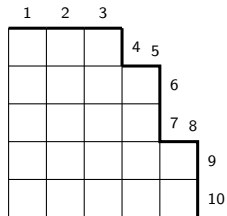
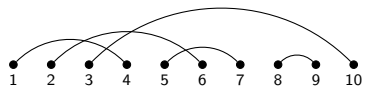
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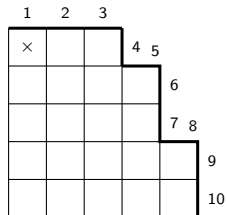
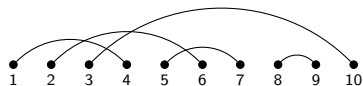
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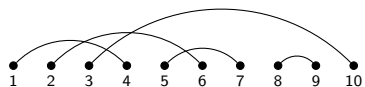
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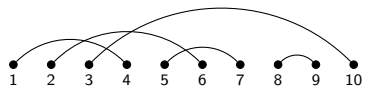


Matchings & Full Rook Placements



1	2	3	4	5
×				6
	×			7
				8
				9
				10

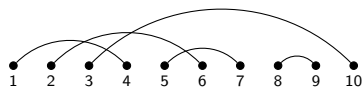
Matchings & Full Rook Placements



1	2	3	4	5
×				
	×			
			×	
				×
		×		

A 5x5 grid with columns labeled 1, 2, 3 and rows labeled 4, 5, 6, 7, 8, 9, 10. The grid is partitioned into four regions by thick black lines: a 3x3 top-left region, a 2x2 top-right region, a 2x2 bottom-left region, and a 1x3 bottom-right region. 'X' marks are placed in the following cells: (1,1), (2,2), (3,3), (4,4), (5,5), (6,6), (7,7), (8,8), (9,9), and (10,10).

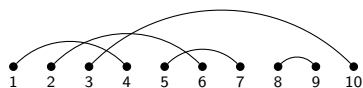
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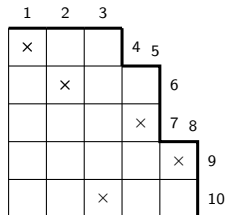
$\xrightarrow{\kappa}$

	1	2	3			
	×			4	5	
		×			6	
				×	7	8
					×	9
			×			10

Matchings & Full Rook Placements



$\xrightarrow{\kappa}$



The mapping (due to C. Krattenthaler) $\kappa : \mathcal{M}_n \rightarrow \mathcal{R}_n$ is a bijection.

- ★ This will permit us to translate between matchings and f.r.p.

Matchings & Partitions that avoid 231

In particular, $\kappa : \mathcal{M}_n(231) \rightarrow \mathcal{M}_n(\tau)$ where τ is the configuration:



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In light of this the following notation makes sense...

Notation

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★ The other patterns of length 3 correspond to “nice” configuration of 3 arcs as well.

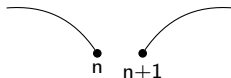
For example,

- 123 \mapsto 3-nesting.
- 321 \mapsto 3-crossing.

Matchings & Partitions that avoid 231

Definition

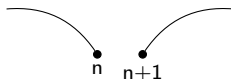
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Matchings & Partitions that avoid 231

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Lemma (J. Bloom, S. Elizalde)

Let τ be **any** configuration. Then, given

$$A(v, z) = \sum_{n \geq 0} \sum_{M \in \mathcal{M}_n(\tau)} v^{\text{val}(M)} z^n$$

we have

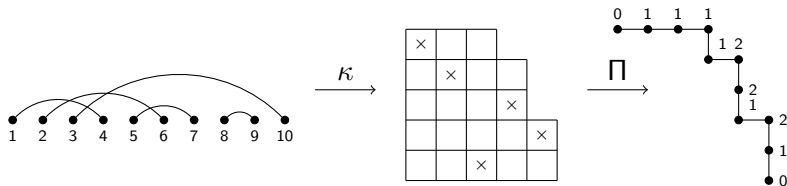
$$\sum_{n \geq 0} |\mathcal{P}_n(\tau)| z^n = \frac{1}{1-z} A\left(\frac{1}{z}, \frac{z^2}{(1-z)^2}\right)$$

Matchings & Partitions that avoid 231

To obtain $\sum |\mathcal{P}_n(231)|z^n$ it will suffice to have $\sum_{\mathcal{M}_n(231)} v^{\text{val}(M)} z^n$.

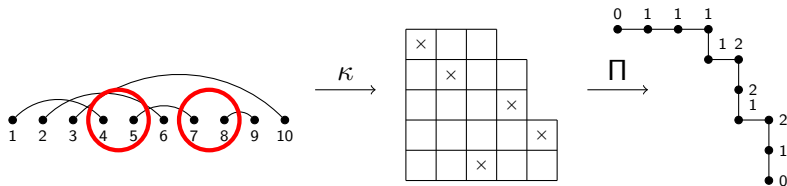
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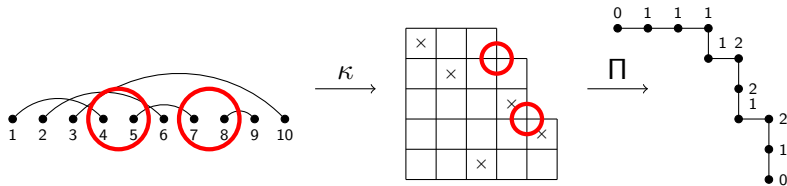
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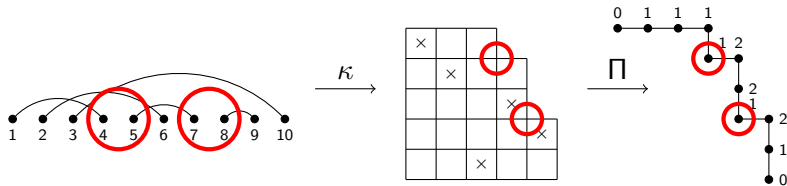
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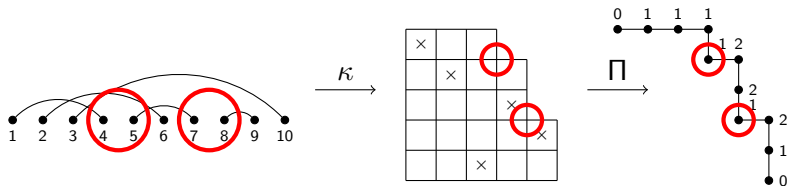
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Translating to generating functions:

$$\sum_{\mathcal{M}_n(231)} v^{\text{val}(M)} z^n = \sum_{\mathcal{R}_n(231)} v^{\text{val}(F)} z^n = \sum_{\mathcal{L}_n} v^{\text{val}(D)} z^n$$

Theorem (J. Bloom, S. Elizalde)

The generating function $\sum_{n \geq 0} |\mathcal{P}_n(231)| z^n$ is a root of the cubic polynomial

$$\begin{aligned} & (z - 1)(5z^2 - 2z + 1)^2 X^3 \\ & + (-9z^5 + 54z^4 - 85z^3 + 59z^2 - 14z + 3) X^2 \\ & + (-9z^4 + 60z^3 - 64z^2 + 13z - 3) X + (-9z^3 + 23z^2 - 4z + 1). \end{aligned}$$

The asymptotic behavior of its coefficients is given by

$$|\mathcal{P}_n(312)| \sim \delta n^{-5/2} \rho^n,$$

where $\delta \approx 0.061518$ and

$$\rho = \frac{3(9 + 6\sqrt{3})^{1/3}}{2 + 2(9 + 6\sqrt{3})^{1/3} - (9 + 6\sqrt{3})^{2/3}} \approx 6.97685.$$

Shape-Wilf-Equivalent Pairs

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Class	Shape-Wilf Equivalent Pairs
I	$\{123, 213\} \sim \{132, 213\} \sim \{132, 231\} \sim \{132, 312\} \sim \{213, 231\}$ $\sim \{213, 312\} \sim \{231, 312\} \sim \{231, 321\} \sim \{312, 321\}$
II	$\{123, 231\}$
III	$\{123, 312\}$
IV	$\{123, 321\}$
V	$\{213, 321\}$
VI	$\{123, 132\}$
VII	$\{132, 321\}$

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III	$\{123, 312\}$
IV	$\{123, 321\}$
V	$\{213, 321\}$
VI	$\{123, 132\}$
VII	$\{132, 321\}$

Class	Matchings	Set partitions
I	$\frac{4}{3 + \sqrt{1 - 8z}}$	$\frac{2 - 3z + z^2 - z\sqrt{1 - 6z + z^2}}{2(1 - 3z + 3z^2)}$
II & III	Solution of a cubic	Solution of a cubic
IV	$\frac{1 - 5z + 2z^2}{1 - 6z + 5z^2}$	$\frac{1 - 10z + 32z^2 - 37z^3 + 12z^4}{(1 - z)(1 - 10z + 31z^2 - 30z^3 + z^4)}$
V	Solution of a functional equation	Unknown
VI & VII	Unknown	Unknown