Patterns, Permutations, and Placements

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Dartmouth College

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Definition

A permutation of **length** n is a rearrangement of the numbers

 $1, 2, \ldots, n.$

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Notation

Let S_n denote the set of all permutations of length n.

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Example

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Example

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$$S_3 = \{123, 132, 213, 231, 312, 321\},$$

 $|S_n| = n!$

D. Knuth (1968) defined a sorting algorithm, called stack sorting.

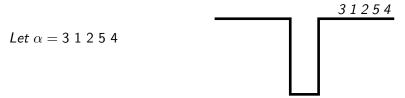
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Let $\alpha =$ 3 1 2 5 4

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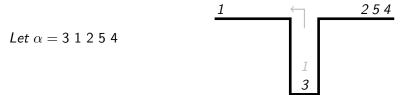
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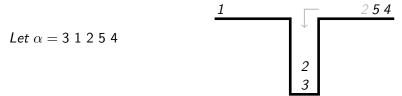
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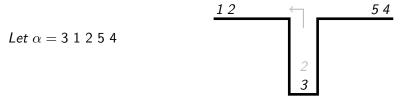
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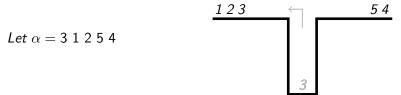
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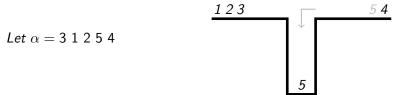


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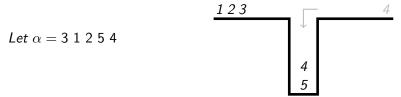


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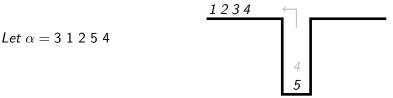
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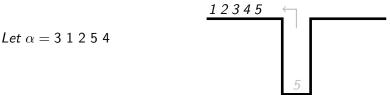


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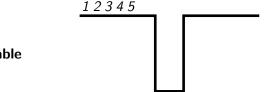
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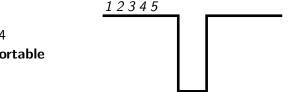
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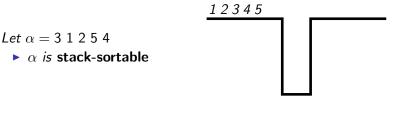


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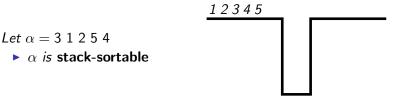
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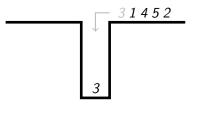
31452

Let
$$\pi=$$
 3 1 4 5 2

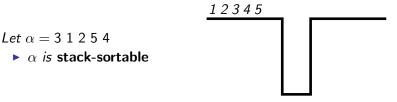
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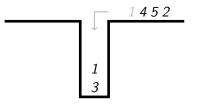
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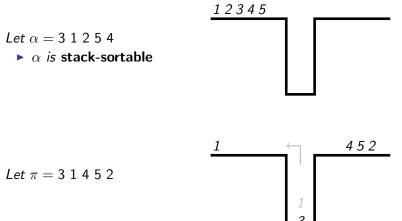
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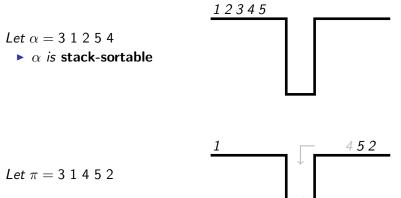
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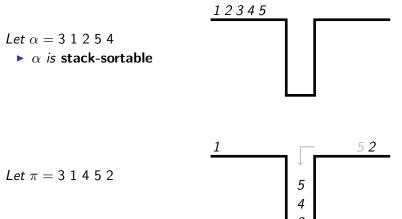
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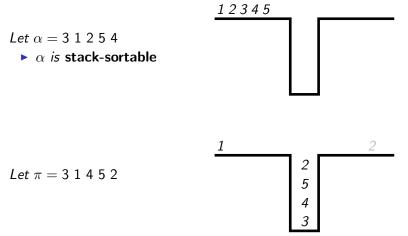
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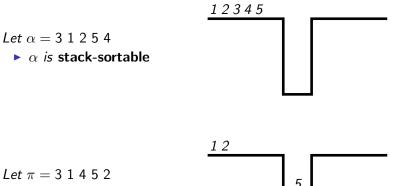
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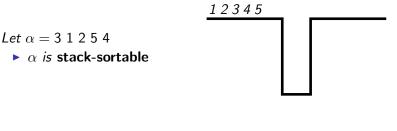
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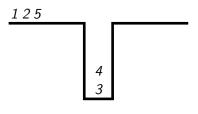


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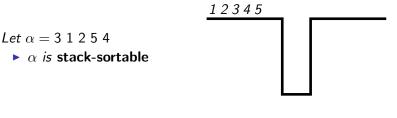


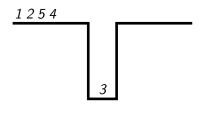


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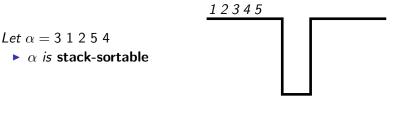


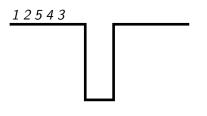


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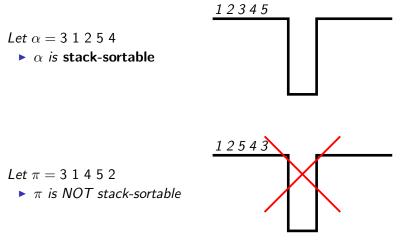




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Question

Why is $\alpha = 3\ 1\ 2\ 5\ 4$ stack-sortable, while $\pi = 3\ 1\ 4\ 5\ 2$ is NOT?

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Theorem (D. Knuth 1968)

 π is NOT stack-sortable $\iff \pi$ has three entries whose relative ordering is "231".

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 $\pi = 3\ 1\ 4\ 5\ 2$ is NOT stack-sortable

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Examples

 $\pi = 3 \ 1 \ 4 \ 5 \ 2$ is NOT stack-sortable $\Rightarrow \pi$ contains the pattern 231

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Examples

 $\pi = 3 \ 1 \ 4 \ 5 \ 2 \text{ is NOT stack-sortable}$ $\Rightarrow \pi \text{ contains the pattern 231}$ $\alpha = 3 \ 1 \ 2 \ 5 \ 4 \text{ is stack-sortable}$ $\Rightarrow \alpha \text{ avoid the pattern 231}$

Its easier with pictures!

 $\pi = 31452$



Its easier with pictures!

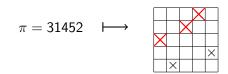
$$\pi = 31452 \quad \longmapsto$$



Its easier with pictures!

• π contains 123

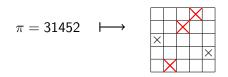
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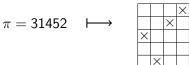
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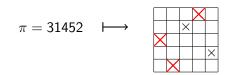


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- π contains 123
- π contains 213

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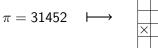
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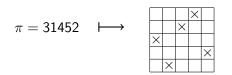


- π contains 123
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π avoids 321

Its easier with pictures!



- π contains 123
- π contains 213

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π avoids 321

Notation

Let $S_n(\tau)$ be the set of permutations of length n that avoid τ .

Definition

We say two patterns $\tau, \sigma \in S_k$ are **Wilf-equivalent** provided

$$|S_n(\tau)| = |S_n(\sigma)|$$

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for all n.

Example (Patterns of length 2)

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$$S_2 = \{12, 21\}$$

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 $S_3 = \{123, 132, 213, 231, 312, 321\}$ $\Rightarrow S_3(21) = \{123\}.$

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$$S_n(21) =$$

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 \Rightarrow 12 is Wilf-equivalent to 21

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• $S_3(321) = \{123, 132, 213, 231, 312\}$

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► ALL length 3 patterns are Wilf-equivalent

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<i>n</i> =	5	6	7	8	9
$ S_n(2314) $	103	512	2740	15485	91245
$ S_n(1234) $	103	513	2761	15767	94359
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Open Problem

Find a formula for $|S_n(1324)|$.

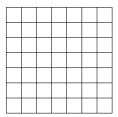
Definition

A **Ferrers Board** F is a square array of boxes with a "bite" taken out of the northeast corner.

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Definition

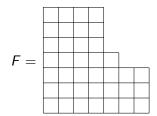
A **Ferrers Board** F is a square array of boxes with a "bite" taken out of the northeast corner.



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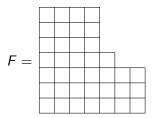
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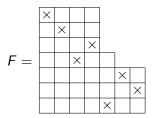
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A **full rook placement** (f.r.p.) on F is a placement of markers with **EXACTLY** one in each row and column.

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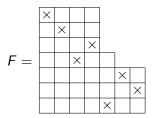
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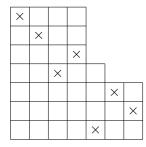
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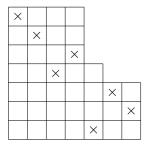
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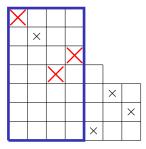
A **full rook placement** (f.r.p.) on F is a placement of markers with **EXACTLY** one in each row and column.

Notation $\mathcal{R}_F = \text{set of all f.r.p.'s on the fixed board } F$



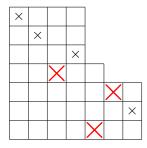


▶ This f.r.p. contains the pattern 312



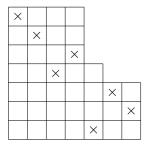
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▶ This f.r.p. contains the pattern 312



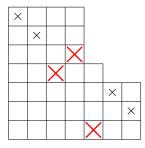
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▶ This f.r.p. contains the pattern 312



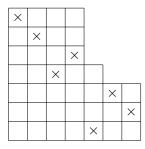
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- ▶ This f.r.p. contains the pattern 312
- This f.r.p. avoids the pattern 231



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- This f.r.p. **contains** the pattern 312
- This f.r.p. avoids the pattern 231



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- This f.r.p. **contains** the pattern 312
- This f.r.p. avoids the pattern 231

Notation

Definition

We say two patterns $\sigma, \tau \in S_k$ are **shape-Wilf-equivalent** and write $\sigma \sim \tau$ if for **every** Ferrers board *F*

$$|\mathcal{R}_F(\sigma)| = |\mathcal{R}_F(\tau)|.$$

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 - Complicated proof

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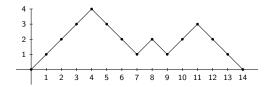
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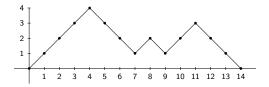
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A **Dyck path** of size *n* is a path that:



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- A **Dyck path** of size *n* is a path that:
 - starts at the origin



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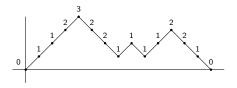
- A **Dyck path** of size *n* is a path that:
 - starts at the origin
 - ends at the point (2n, 0)



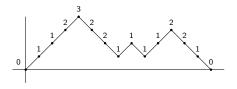
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- A **Dyck path** of size *n* is a path that:
 - starts at the origin
 - ends at the point (2n, 0)
 - never goes below the x-axis



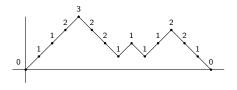




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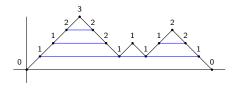
- Monotonicity
 - +1/0 up step and -1/0 down step



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- Monotonicity
 - +1/0 up step and -1/0 down step
- Zero Condition
 - All zeros lie precisely on the x-axis



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- Monotonicity
 - +1/0 up step and -1/0 down step
- Zero Condition
 - All zeros lie precisely on the x-axis
- Tunnel Property
 - "Left" ≤ "Right"

An outline

An outline

1. 231-avoiding rook placement \mapsto Tunnel property

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An outline

1. 231-avoiding rook placement \mapsto Tunnel property

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2. Tunnel Property \mapsto Reverse Tunnel Property

An outline

- 1. 231-avoiding rook placement \mapsto Tunnel property
- 2. Tunnel Property \mapsto Reverse Tunnel Property
- 3. Reverse Tunnel Property \mapsto 312-avoiding rook placement

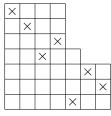
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1. 231-avoiding f.r.p. \Rightarrow Tunnel property



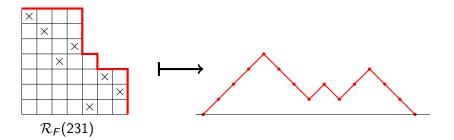
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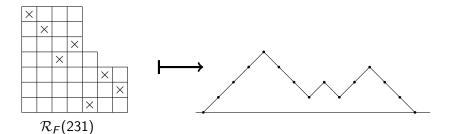


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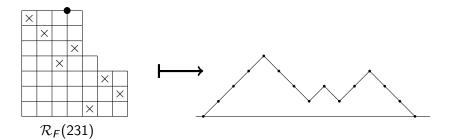
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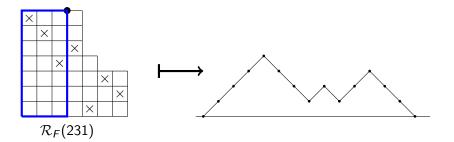
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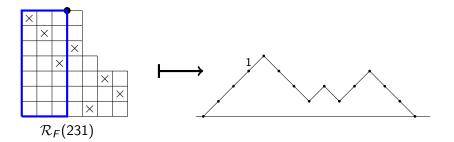


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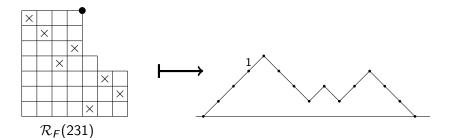
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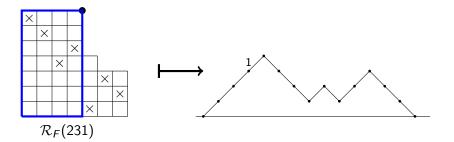
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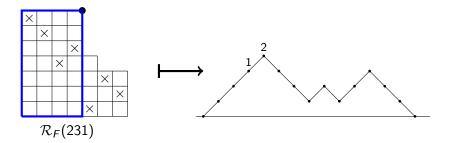
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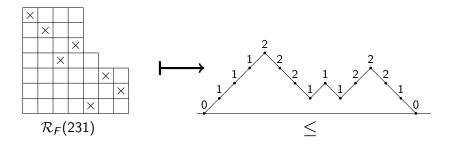
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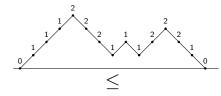
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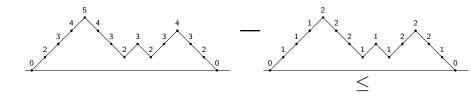
2. Tunnel property \Rightarrow Reverse tunnel property



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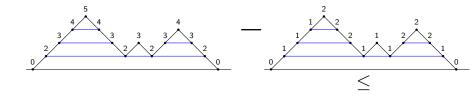
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2. Tunnel property \Rightarrow Reverse tunnel property



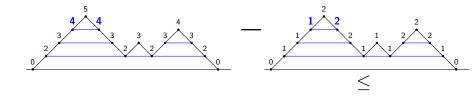
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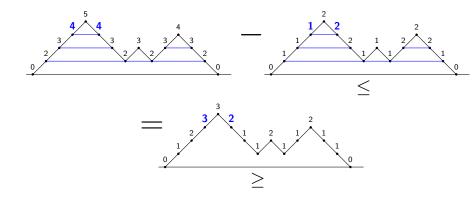
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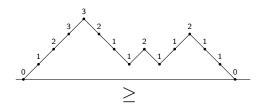
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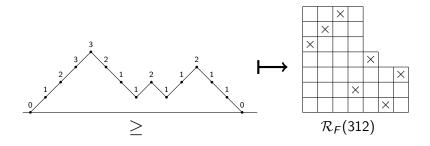


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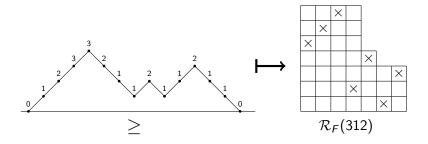
3. Reverse tunnel property \Rightarrow 312-avoiding f.r.p.



3. Reverse tunnel property \Rightarrow 312-avoiding f.r.p.



3. Reverse tunnel property \Rightarrow 312-avoiding f.r.p.



Theorem (Bloom–Saracino '11)

This mapping is a bijection between $\mathcal{R}_F(231)$ and $\mathcal{R}_F(312)$. $\Rightarrow 231$ and 312 are shape-Wilf-equivalent.

The generating function for a sequence of integers

 $a_0, a_1, a_2, a_3, \ldots$

is the "formal" series

$$\sum_{n=0}^{\infty}a_nz^n.$$

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 "A generating function is a clothesline on which we hang up a sequence of numbers for display" - H. Wilf

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We do not worry about convergence!

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Example

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$$C(z) = \sum_{n=0}^{\infty} |\mathcal{D}_n| z^n$$

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Example

Let \mathcal{D}_n be the set of Dyck paths with length n.

$$C(z) = \sum_{n=0}^{\infty} |\mathcal{D}_n| z^n = \frac{1 - \sqrt{1 - 4z}}{2z}$$

The generating function for a sequence of integers

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$$= 1 + z + 2z^2 + 5z^3 + 14z^4 + 42z^5 + \cdots$$

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In 1990 Bóna proved the following celebrated result

$$\sum_{n=0}^{\infty} |S_n(2314)| z^n = \frac{32z}{1+20z-8z^2-(1-8z)^{3/2}}.$$

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Our Proof

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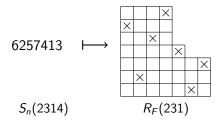
*S*_n(2314)

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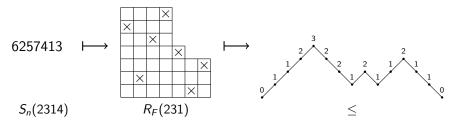
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▶ In 2012, D. Callan and V. Kotesovec conjectured that

$$\sum_{n=0}^{\infty} |S_n(2314, 1234)| z^n = \frac{1}{1 - C(zC(z))}$$
$$= 1 + z + 2z + 6z^2 + 22z^3 + \cdots$$

where C(z) is the generating function for the Catalan numbers.

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 New enumerative results in the theory of perfect matchings and set partitions.

Thank you!

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